

Referrals en ICS2

11 de mayo 2023



Guía de Referrals

- **Guía sobre el protocolo de Referrals:**

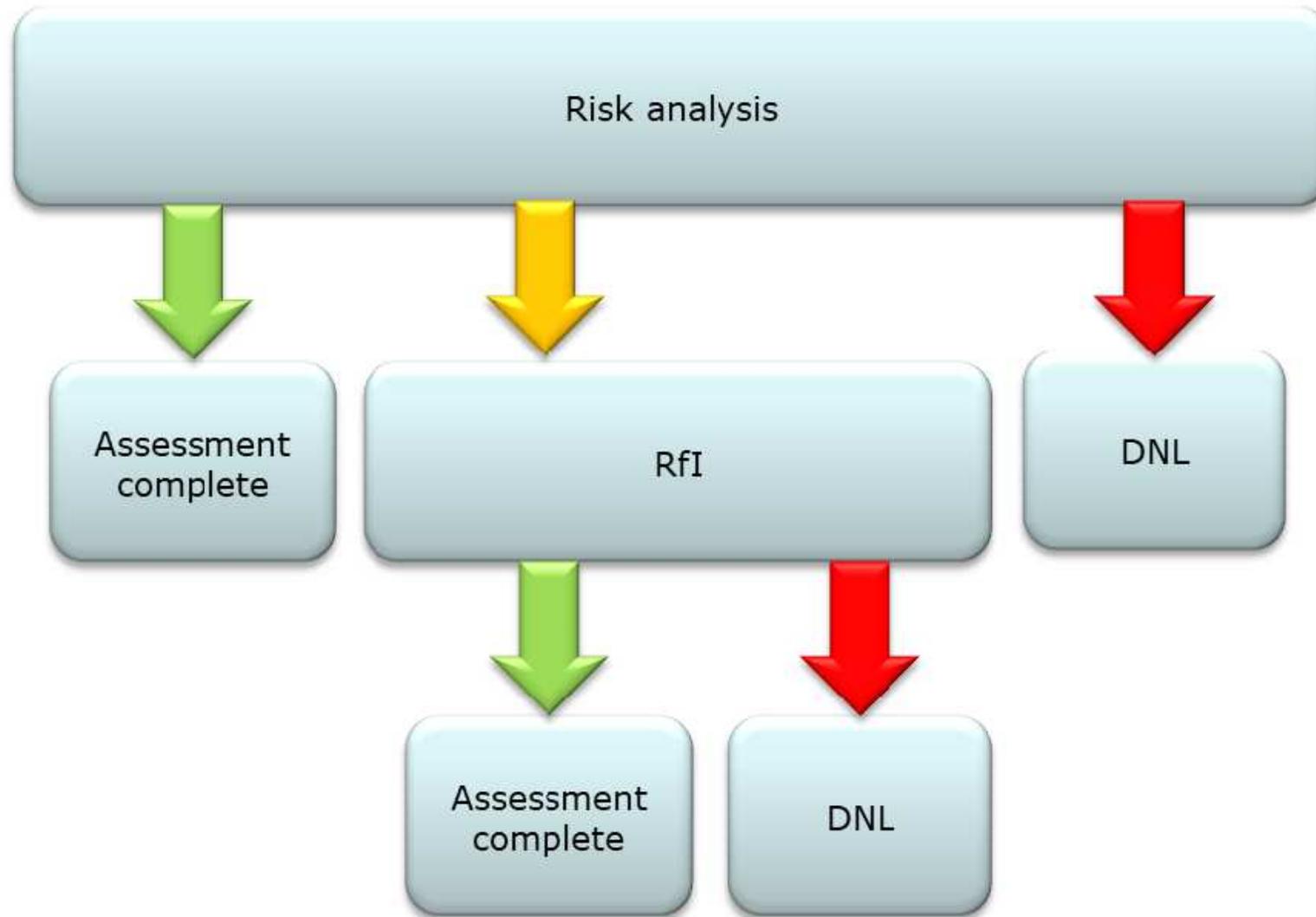
<https://circabc.europa.eu/ui/group/ea5f882b-9153-4fc1-9394-54ac8fe9149a/library/a34cab41-eae0-4d8c-ae8b-9a97689b8045/details>

Guía sobre la descripción de mercancías:

https://ec.europa.eu/taxation_customs/system/files/2021-03/guidance_acceptable_goods_description_en.pdf

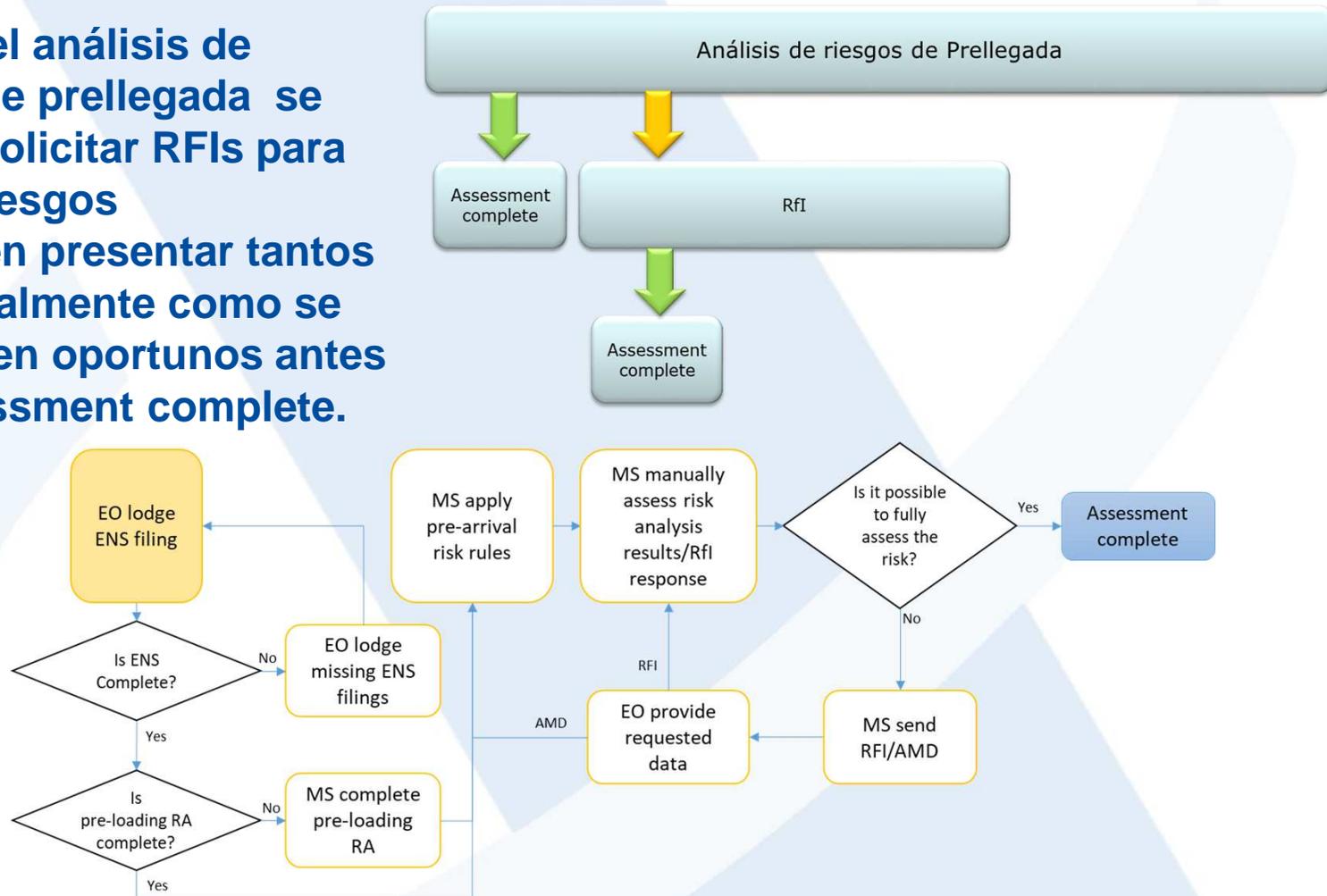


Referrals



Presentar un referral

- Durante el análisis de riesgos de prelegada se pueden solicitar RFIs para mitigar riesgos
- Se pueden presentar tantos secuencialmente como se consideren oportunos antes del Assessment complete.





Requisitos generales

- **Los mensajes intercambiados deben seguir las especificaciones del Sistema ICS2**
- **La respuesta por parte de los OE a un referral es obligatoria según la normativa aduanera de la UE**
- **Se recomienda el uso del inglés en los referrals**
- **Las solicitudes y respuestas están codificadas con el propósito de reducir en la medida de lo posible los problemas lingüísticos**
- **Si el transportista es distinto del declarante, podría ser notificado también sobre los referrals, siempre que lo haya solicitado y sea conocido.**



Assessment complete (AC)

- **Assessment complete no es un referral, es el resultado del proceso de análisis de riesgos**
- **Es una decisión del declarante/representante y del transportista (si es distinto del declarante) si quiere o no recibir el AC. Esta decisión se configura en las preferencias del STI**
- **En la fase de prelegada el AC se remite por MRN de ENS presentada.**
- **En la fase de prelegada, en el supuesto de que no haya ningún DNL, se incluye solo en Título de transporte Master en cada AC. En el supuesto de que haya un DNL, se listarán los HC/contenedores no afectados por los DNLs.**

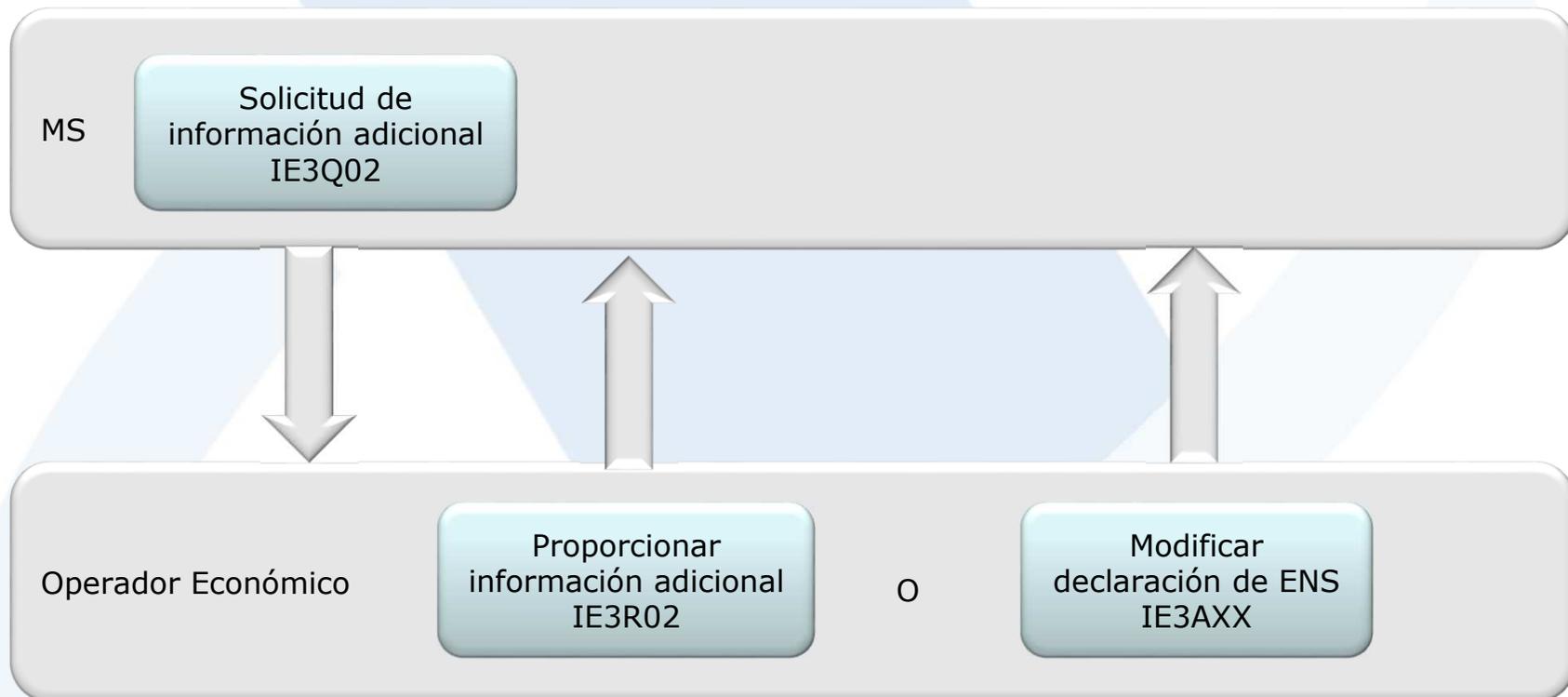


Assessment complete (AC)

Occurs	Data class/element	Explanation
IE3N03		
1..1	MRN	Registration number of the ENS filing
1..1	Completion date	Date of completion of the risk assessment
0..1	Assessment complete	
0..999	Receptacle	
1..1	Receptacle identification number	Receptacle identification number for postal consignments only, if applicable
0..99999	Transport document (House level)	
1..1	Reference number	Reference number of the transport document (HAWB, postal item number), if applicable
1..1	Type	Type of the transport document, if applicable
0..9999	Transport equipment	
1..1	Container identification number	Not to be used in the pre-arrival
1..1	Responsible Member State	
1..1	Country	Member State responsible for the pre-arrival risk assessment
0..1	Representative	
1..1	Identification number	EORI number of the representative (if declared in the ENS filing)
0..1	Transport document (Master level)	
1..1	Reference number	Reference number of the transport document (MAWB)
1..1	Type	Type of the transport document
0..1	Carrier	
1..1	Identification number	EORI number of the carrier
0..1	Declarant	
1..1	Identification number	EORI number of the declarant



Solicitud de información adicional (RFI)





RFI – Solicitud de información adicional

Code	Description
F100	Provide phone number and e-mail address(es) of consignor
F101	Confirm that declared consignor name is correct
F102	Confirm that declared consignor identification number is correct
F103	Confirm that declared consignor type of person (legal or natural person) is correct
F104	Confirm that declared consignor address is correct
F200	Provide phone number and e-mail address(es) of consignee
F201	Confirm that declared consignee name is correct
F202	Confirm that declared consignee identification number is correct
F203	Confirm that declared consignee type of person (legal or natural person) is correct
F204	Confirm that declared consignee address is correct
F300	Provide phone number and e-mail address(es) of carrier is correct
F302	Confirm that declared carrier identification number is correct
F304	Confirm that declared carrier address is correct
F400	Provide phone number and e-mail address(es) of notify party is correct



Uso de Additional Information - Text

Code	Text used	Description
F104	Optional	To be used by customs authority only in cases if there is a need to confirm particular data element from address data class.
F204	Optional	To be used by customs authority only in cases if there is a need to confirm particular data element from address data class.
F304	Optional	To be used by customs authority only in cases if there is a need to confirm particular data element from address data class.
F404	Optional	To be used by customs authority only in cases if there is a need to confirm particular data element from address data class.
F600	Yes	Customs authority will specify which declared information should be confirmed if not included in the list of coded values.
F6xx	Optional	ENS filings can contain both house and master level information. Customs authority should use pointer to specify for which data element request is issued nevertheless, free text also can be used to specify this.
F700	Yes	Customs authority will specify which documents they need to finalise risk analyse.



Ejemplos

Code	Example of request
F204	Please provide post code of consignee. It has been declared that post code of consignee is 'NA' but in country where consignee is located post codes are used.
F607	Declared CUS code does not correspond to goods description provided. What goods are brought into territory of EU?
F700	Please provide invoice with reference number 



RFI – Solicitud de información adicional

Occurs	Data class/element	Explanation
IE3Q02		
1..1	Document issue date	The date of the <u>Rfi</u> request
1..1	MRN	Registration number of the ENS filing
1..1	Responsible Member State	
1..1	Country	Member State which is issuing the <u>Rfi</u>
0..1	Representative	
1..1	Identification number	EORI number of the representative (if declared in the ENS filing)
1..1	Declarant	
1..1	Identification number	EORI number of the declarant
1..999	Referral request details	See 3.3.3.1.2 Referral request details
1..1	Referral request reference	Unique identifier of the referral request
1..1	Request type	When <u>Rfi</u> is issued requesting additional information to be provided, the code 'RFI' will be used
0..1	Transport document (House level)	
1..1	Reference number	Reference number of the transport document (HAWB, postal item number)
1..1	Type	Type of the transport document
0..1	Transport document (Master level)	
1..1	Reference number	Reference number of the transport document (MAWB)
1..1	Type	Type of the transport document
0..99	Supporting documents	See 3.3.3.1.3 Supporting documents
1..1	Reference number	Reference number of the supporting document as declared in the ENS filing
1..1	Type	Type of the document as declared in the ENS filing
0..99	Additional information	See 3.3.3.1.4 Additional information
0..1	Code	Codes from section 3.3.3.1.4 can be used
0..1	Text	Free text field to complement information provided under additional information code (if necessary).
0..1	Information type	Default code 'R1' will be used
0..1	Pointer	
1..1	Message element path	Message element path to identify data class/element being the reason to issue referral request.



RFI petición de modificación

Code	Description
A100	Amend phone number and e-mail address(es) of consignor
A101	Amend consignor name
A102	Amend consignor identification number
A103	Amend consignor type of person (legal or natural person)
A104	Amend consignor address
A200	Amend phone number and e-mail address(es) of consignee
A201	Amend consignee name
A202	Amend consignee identification number
A203	Amend consignee type of person (legal or natural person)
A204	Amend consignee address
A300	Amend phone number and e-mail address(es) of carrier
A302	Amend carrier identification number
A304	Amend carrier address
A400	Amend phone number and e-mail address(es) of notify party
A401	Amend notify party name
A402	Amend notify party identification number



Ejemplo

Code	Text	Example of request
A201	Consignee name 'Qwerty 55'	We checked our enterprise register and were not able to find company with the declared name and identification number. Verify it and provide real one via the ENS amendment.
A204	Street name is same as city name	Consignee address in which street name is same as place name. Please correct the erroneous data.
A804	Place of unloading is temporary unavailable	According to information being at our disposal place of unloading is temporary unavailable – please provide place where you will actually unload the consignments.



Contestación a un Rfl

Code	Description
R100	Additional information is provided
R101	Declared ENS data are confirmed
R102	Documents are provided
R103	ENS amended
R200	Additional information cannot be provided
R201	Declared ENS data are not confirmed
R202	Documents cannot be provided
R203	Amendment is not possible



Contestación a un Rfl. Additional Information - text

Code	Text used	Description
R100	Yes	The text should contain the information that was requested.
R101	No	No textual information needs to be provided.
R102	No	No textual information needs to be provided, however, the documents should be attached as binary attachments (see 3.4.3 Binary attachment). The documents can be, for example, invoice, Electronic Consignment Security Declaration, loading lists, transport documents.
R200	Yes	The text should contain the information why information requested cannot be provided and, if possible, where the information can be obtained if needed, e.g. master level filer has no information on postal consignments and this information should be requested to house level filer.
R201	Yes/No	EO can provide requested information as textual information or can decide to amend ENS filing. If decision is made to amend ENS filing it's up to EO to decide whether to submit IE3R02 or just IE3Axx message by including referral request reference in it.
R202	Yes	The text should contain the information why information requested cannot be provided and, if possible, where the information can be obtained if needed, e.g. master level filer cannot provide commercial invoice issued by house level filer and it recommend MS to request it from house level filer.
R203	Yes	The text should contain the information why amendment requested cannot be lodged.



Contestación a un Rfl

Occurs	Data class/element	Explanation
	IE3R02	
1..1	Document issue date	The date of the Rfl response
1..1	MRN	Registration number of the ENS filing
1..1	Responsible Member State	
1..1	Country	Member State which issued the Rfl
0..1	Representative	
1..1	Identification number	EORI number of the representative (if declared in the ENS filing)
0..1	Transport document (Master level)	
1..1	Reference number	Reference number of the transport document (MAWB)
1..1	Type	Type of the transport document
1..1	Declarant	
1..1	Identification number	EORI number of the declarant
1..999	Additional information response	
1..1	Referral request reference	Unique identifier of the referral request
0..99	Additional information	See 3.4.2 Additional information
0..1	Code	Codes 3.4.2 will be used
0..1	Text	
0..1	Information type	Default code 'R2' will be used
0..9	Binary attachment	See 3.4.3 Binary attachment
1..1	Identification	
1..1	Filename	
1..1	MIME	
0..1	Description	
0..1	Transport document (House level)	
1..1	Reference number	Reference number of the transport document (HAWB, postal item number)
1..1	Type	Type of the transport document
0..999	HRCM screening results	Not to be used in the pre-arrival
1..1	Results	

Modificación de una ENS

Si la modificación de la ENS obedece a una solicitud de modificación por un RFI, se debe incluir el Referral request reference del referral que solicitó la modificación en el mensaje de modificación de declaración de ENS

Occurs	Data class/element	Explanation
	IE3A43/IE3A32	
1..1	Document issue date	
0..1	Referral request reference	Referral request reference received from customs via RFI message IE3Q02
1..1	MRN	
1..1	Specific circumstance indicator	